

**DRAFT
MACQUARIE UNIVERSITY
MONASH UNIVERSITY**

PRESENT



**FINANCIAL SERVICES REFORM
THIRD ANNUAL CONFERENCE
– WHERE NEXT?**



SUPPORTED BY
**Australian Compliance Institute
Abacus – Australian Mutuals
Australian Securities Exchange
National Insurance Brokers Association of Australia
CCH Australia Ltd
Financial Planning Association of Australia
Securities & Derivatives Industry Association**

**Friday 18 July 2008
Macquarie Graduate School of Management
Level 6, 51-57 Pitt St (corner Dalley St), Sydney
8.15 am to 5.30 pm**

8.15 Registration and coffee

8.40-10.45	First round chaired by Richard Batten, FSR Partner, Minter Ellison Lawyers
8.40-8.45	Conference Opening Paul Latimer, Associate Professor Department of Business Law and Taxation, Monash University
8.45-9.00	Senator Nick Sherry, Minister for Superannuation and Corporate Law <i>FSR – Future Directions under the New Government</i>
9.00-9.30	Neil Jensen, CEO, AUSTRAC <i>Expanding AUSTRAC's role from information clearing house to a full policy and rule-making body and enforcement regulator</i>
9.30-10.00	Doug Clark, Securities & Derivatives Industry Association <i>Stockbroking under FSR</i>
10.00-10.30	Darren Williams, ASIC, Director of Financial Services Compliance, Melbourne <i>ASIC administration of FSR – lessons arising</i>
10.30-10.45	Forum discussion and first round wrap up

10.45 – 11.15 Morning tea

11.15-12.30	Second round chaired by Souichirou Kozuka, Professor of Law, Sophia University, Tokyo
11.15-11.35	Martin Tolar, Chief Executive Officer, Australian Compliance Institute <i>FSR lessons for compliance</i>
11.35-11.55	Pascal Kasimba, Senior Legal Counsel, HBOS Australia FSR and Insurance. <i>FSR, Insurance legislation, legacy products, ASIC Class Orders and Insurance Contracts Act</i>
11.55-12.15	Juliette Overland, Lecturer in Business Law, Macquarie University <i>Through the Looking Glass: Developments in the Regulation of Insider Trading</i>
12.15-12.30	Forum discussion and second round wrap-up

12.30-2.00 Buffet lunch

2.00-3.15	Third round chaired by Martin Tolar, Chief Executive Officer, Australian Compliance Institute
2.00-2.25	Ben Phi, Associate, Project Litigation, Slater and Gordon, lawyers, Melbourne <i>Empowering Investors - Class Actions under FSR</i>
2.25-2.50	Professor Michel Tison, Financial Law Institute, Law School, University of Ghent, Belgium <i>Protecting Investors through Supervisory Rules: the case of conduct of business rules in European law</i>
2.50-3.15	Deen Sanders, General Manager, Professional Standards, CFP Certification and Education, Financial Planning Association of Australia, Sydney <i>FSR and financial planners</i>
3.15-3.30	Forum discussion and third round wrap-up

3.30-4.00 Afternoon tea

4.00-5.30	Fourth round chaired by Michael Duffy, Lecturer, Department of Business Law and Taxation, Monash University
4.00-4.25	David Lawrence, General Manger, Participants, Market Supervision, ASX Markets Supervision <i>ASX regulation of the market and participants after FSR – self regulation and co-regulation with ASIC</i>
4.25-4.50	Mei Ling Perry, Know Compliance, Melbourne <i>FSR after four years – the compliance industry and the future</i>
4.50-5.15	Hugh Elvy, Institute of Chartered Accountants in Australia, Sydney <i>Effect of FSR after four years on the accounting profession</i>
5.15-5.30	Forum discussion and conference wrap-up, Peter Gillies, Business Law, Macquarie University
5.30	Close of Conference

Enquiries to

**Paul Latimer
Business Law and Taxation, Monash University
Paul.Latimer@buseco.monash.edu.au**

**Andrew Dahdal
Law
Macquarie University
Andrew.Dahdal@law.mq.edu.au**