

MACQUARIE UNIVERSITY DEPARTMENT OF BUSINESS
LAW

MONASH UNIVERSITY DEPARTMENT OF BUSINESS LAW
AND TAXATION

Taxation Law and Policy Research Institute

PRESENT

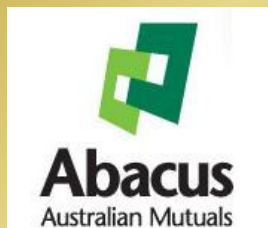


FINANCIAL SERVICES REFORM
THIRD ANNUAL CONFERENCE
– WHERE NEXT?



SUPPORTED BY

Australian Securities Exchange
Abacus – Australian Mutuals
Australian Compliance Institute
National Insurance Brokers Association of Australia
Financial Planning Association of Australia
Securities and Derivatives Industry Association
CCH Australia Ltd



When: Friday 18th July 2008
8.30 am to 5.00 pm

Where: Macquarie Graduate School
of Management
(MGSM)
CBD Campus
Level 6, 51-57 Pitt St (corner
Dalley St), Sydney.

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Financial Services Reform (FSR) in 2004 changed the *Corporations Act* to:

- Promote confident and informed decision making by investors;
- Promote fairness, honesty and professionalism in the financial services industry;
- Promote fair, orderly and transparent markets for financial products.

FSR has raised many Issues:

- What financial services were being reformed?
- What has it cost?
- What are the consequences, what are the unintended consequences?
- Do the reforms achieve their purpose?
- Conflicts of interest?
- Are investors now better informed, or more confused?
- Is there more professionalism in the financial services industry?
- Are markets now more fair, orderly and transparent?
- Alternative dispute resolution and FICS
- How has FSR impacted on the wider world of financial services such as accounting and insurance?

FINANCIAL SERVICES REFORM – THIRD ANNUAL CONFERENCE **WHERE NEXT?** PROGRAM

Senator Nick Sherry <i>Minister for Superannuation and Corporate Law</i>	<i>FSR – Future Directions under the New Government</i>
Neil Jensen <i>CEO, AUSTRAC</i>	<i>Expanding AUSTRAC's role from information clearing house to a full policy and rule-making body and enforcement regulator</i>
Doug Clark <i>Securities and Derivatives Industry Association</i>	<i>Stockbroking under FSR</i>
Darren Williams <i>ASIC, Director, Financial Services Compliance, Melbourne</i>	<i>ASIC administration of FSR – lessons arising</i>
Martin Tolar <i>Chief Executive Officer, Australian Compliance Institute</i>	<i>FSR lessons for compliance</i>
Pascal Kasimba <i>Senior Legal Counsel, HBOS Australia FSR and Insurance.</i>	<i>FSR, Insurance legislation, legacy products, ASIC Class Orders and Insurance Contracts Act</i>
Juliette Overland <i>Lecturer, Division of Law, Macquarie University</i>	<i>Through the Looking Glass: Developments in the Regulation of Insider Trading</i>
Ben Phi <i>Associate, Project Litigation, Slater and Gordon, lawyers, Melbourne</i>	<i>Empowering Investors - Class Actions under FSR</i>
Professor Michael Tison <i>Financial Law Institute, Law School, University of Ghent, Belgium</i>	<i>Protecting Investors through Supervisory Rules: the case of conduct of business rules in European law</i>
Deen Sanders <i>General Manager, Professional Standards, CFP Certification and Education, Financial Planning Association of Australia, Sydney</i>	<i>FSR and financial planners</i>
David Lawrence <i>General Manger, Participants, Market supervision, ASX</i>	<i>ASX regulation of the market and participants after FSR – self regulation and co-regulation with ASIC</i>
Mei Ling Perry <i>Know Compliance, Melbourne</i>	<i>FSR after four years – the compliance industry and the future</i>
Hugh Elvy <i>Institute of Chartered Accountants in Australia, Sydney</i>	<i>Effect of FSR after four years on the accounting profession</i>